Simply-Typed Lambda Calculus, & SUOS Programs as Proofs CIS352 — Spring 2023 Kris Micinski



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- Types are a static system guaranteed by your program
- Types serve as evidence of a particular property, that relates to the structure of information
- For the lambda calculus, and base values, the only structure to be had is **lambdas**
- Type systems are designed to ensure certain static properties of the language. These properties can be relatively superficial, or fairly involved
- The simply-typed lambda calculus is one specific type system for the lambda calculus that models all of the things that could "go wrong" at the type level
- Start by type system for IfArith

Higher-order contract systems track program labels alongside contracts to properly assign blame when failure occurs.

"Correct blame for contracts". Dimoulas. 2011.



"I take in a positive and produce a positive."

(define/contract (fib x) (-> positive? positive?) (cond $[(= x \ 0) \ 1]$ [(= x 1) 1]

> Welcome to <u>DrRacket</u>, version 7.2 [3m]. Language: racket, with debugging; memory limit: 128 MB. > (fib 2)

[Felse (+ (fib (- x 1)) (fib (- x 2))])

(define/contract (fib x) (-> positive? positive?) (cond $[(= x \ 0) \ 1]$ [(= x 1) 1]> (fib -2)**Solution Solution** expected: positive? given: -2 in: the 1st argument of (-> positive? positive?) contract from: (function fib) blaming: anonymous-module at: unsaved-editor:3.18 >

When I mess up

[else (+ (fib (- x 1)) (fib (- x 2))]))

```
(assuming the contract is correct)
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```
Racket blames me
                        (anonymous-module)
(assuming the contract is correct)
```

When **fib** messes up

(define/contract (fib x) (-> positive? positive?) (cond [(= x 0) -200][(= x 1) 1]

Welcome to DrRacket, version 7.2 [3m]. Language: racket, with debugging; memory limit: 128 MB. > (fib 20) So fib: broke its own contract promised: positive? produced: -829435 in: the range of (-> positive? positive?) contract from: (function fib) blaming: (function fib) (assuming the contract is correct) at: unsaved-editor:3.18

[else (+ (fib (- x 1)) (fib (- x 2))]))

Racket blames fib



Note that contracts are checked at **runtime**

(**Not** compile time!)

But sometimes we want to know our program won't break before it runs!

A type system assigns each source fragment with a given type: a specification of how it will behave

Type systems include **rules**, or **judgements** that tells us how we compositionally build types for larger fragments from smaller fragments

The **goal** of a type system is to **rule out** programs that would exhibit run time type errors!

Type Systems

A type system for STLC (Simply-Typed Lambda Calculus)

- e ::= (lambda (x) e)(e e) ((prim e) e) Χ n
- prim ::= + | * | ...

Term Syntax

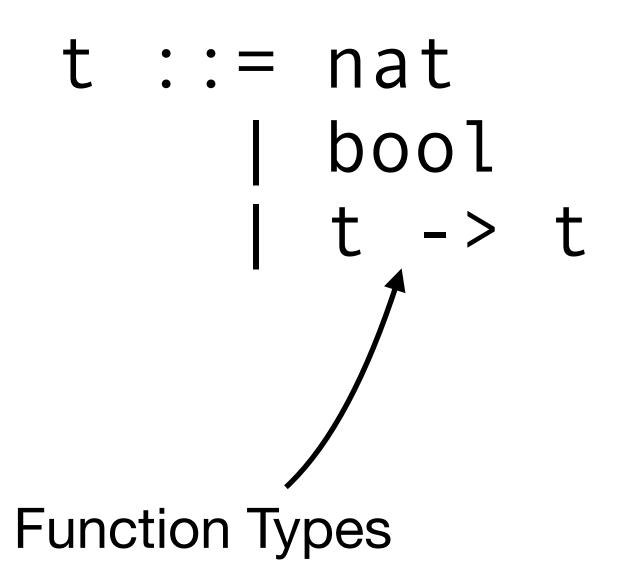
Type Syntax

t ::= nat | bool | t -> t

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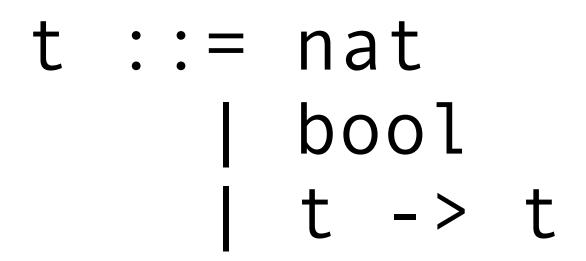
Term Syntax





Term Syntax

Type Syntax



Examples...

```
;; Expressions are ifarith, with several special builtins
(define (expr? e)
  (match e
    ;; Variables
   [(? symbol? x) #t]
    ;; Literals
   [(? bool-lit? b) #t]
   [(? int-lit? i) #t]
    ;; Applications
    [`(,(? expr? e0) ,(? expr? e1)) #t]
    ;; Annotated expressions
    [`(,(? expr? e) : ,(? type? t)) #t]
    ;; Anotated lambdas
```

[`(lambda (,(? symbol? x) : ,(? type? t)) ,(? expr? e)) #t]))

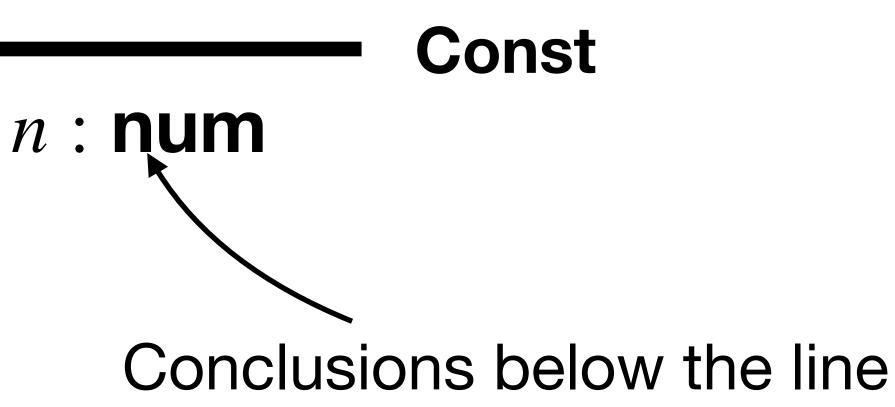
A type system for STLC

Assumptions above the line

prim ::= + | * | ...

Type rules are written in natural-deduction style (Like our big-step operational semantics.)

(No assumptions here.)



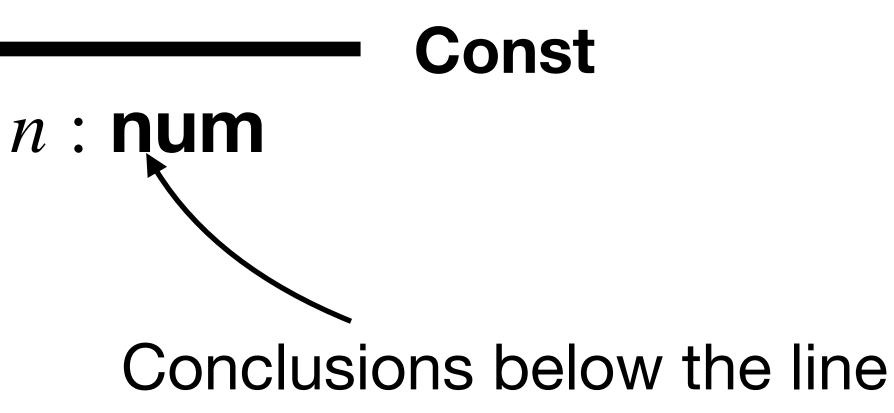
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onclude any number n has type **num**"

Variable Lookup

We assume a **typing environment** which maps variables to their types

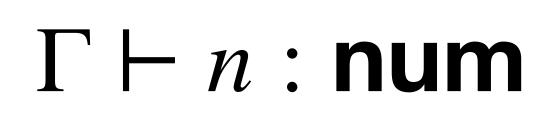
Ι

If x maps to type t in Γ , we may conclude that x has type t under the type environment Γ

$$f(x) = t$$
 Var
$$f(x) = x \cdot t$$

Const revisited...

"We may conclude any constant n is of type **num** under **any** typing environment."



num Const

Functions...

plus assuming x has type t,...

$$\Gamma[x \mapsto t] \vdash e : t'$$

$$\vdash (\lambda (x : t) e) : t \to t'$$
Lam

$$\Gamma[x \mapsto t] \vdash e : t'$$

$$\Gamma \vdash (\lambda (x : t) e) : t \to t'$$

has type t -> t'

If you conclude that e has type t' with Gamma

Then you can conclude that the entire lambda

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Variables (x) must be **tagged** with a type (e.g., by programmer)

If you conclude that e has type t' with Gamma

Then you can conclude that the entire lambda

Note

$\Gamma[x \mapsto t] \vdash$

 $\Gamma \vdash (\lambda (x : t) e)$

(lambda (x : num) 1)

$$- e : t'$$

$$e): t \to t'$$
Lam

$\Gamma[x \mapsto t] \vdash$

 $\Gamma \vdash (\lambda (x : t))$

Start with the empty environment (since this term is closed) $\Gamma = \{\} \vdash (lambda (x : num) 1) : ? \rightarrow ?$

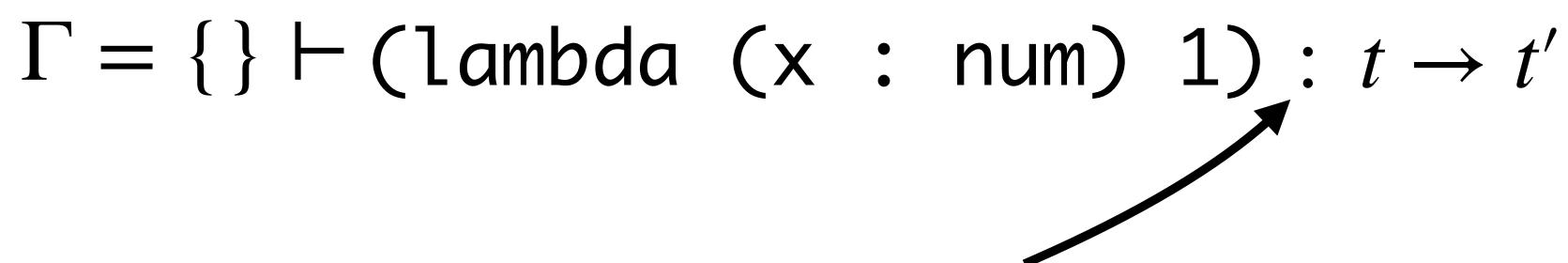
$$\begin{array}{l} -e:t' \\ \hline e):t \to t' \end{array}$$
 Lam

$\Gamma[x \mapsto t] \vdash$

 $\Gamma \vdash (\lambda (x : t) e)$

$$- e : t'$$

$$e) : t \to t'$$
Lam



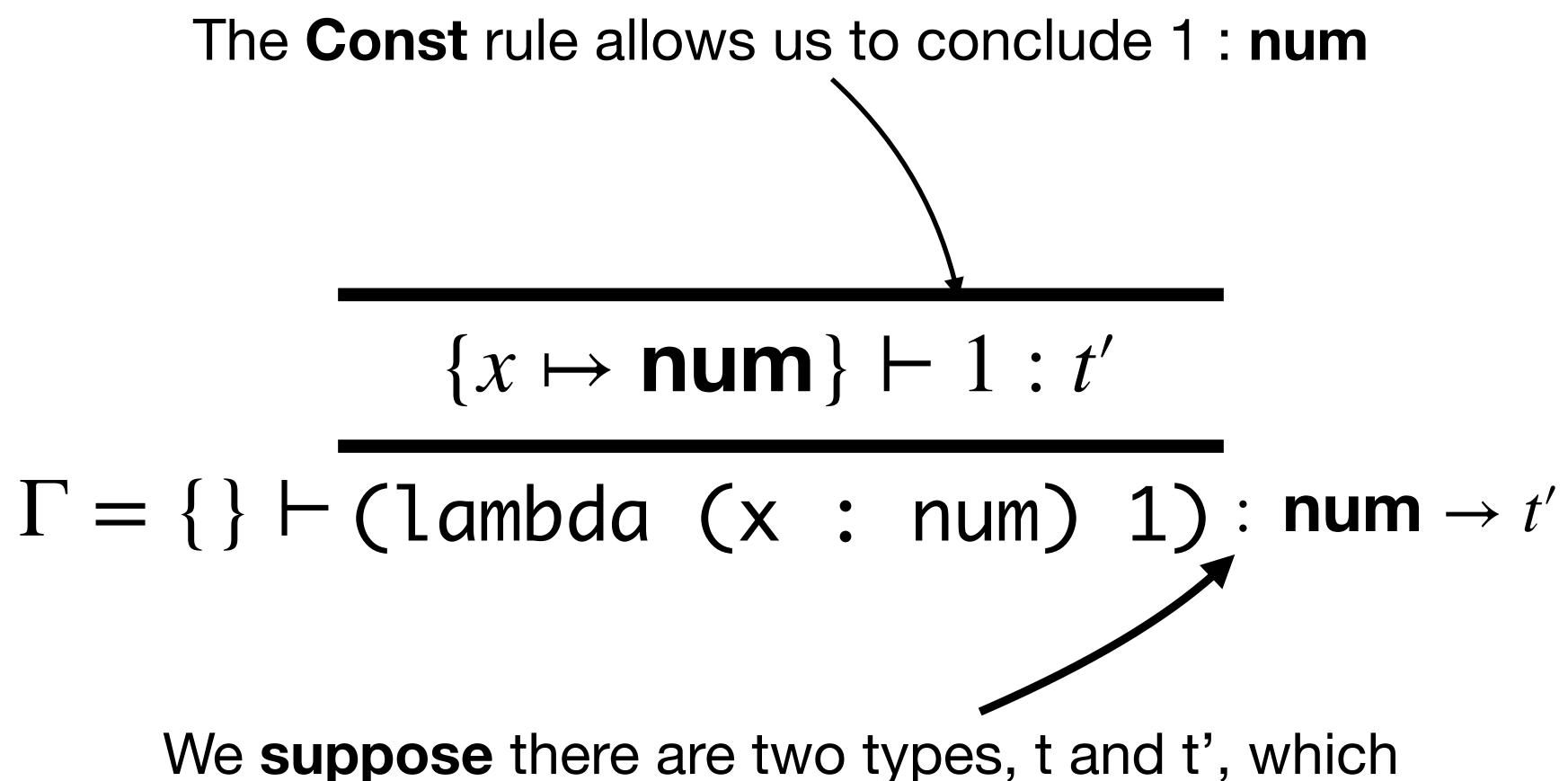
We suppose there are two types, t and t', which will make the derivation work.

Because x is tagged, it must be **num**

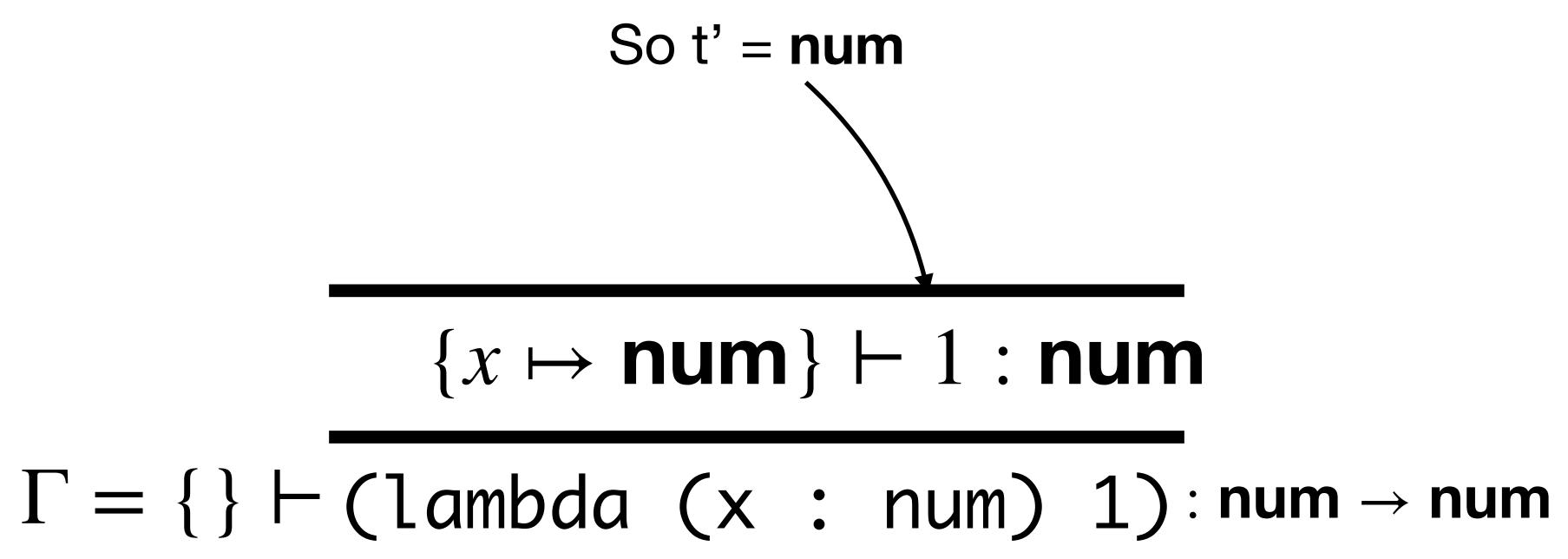
${x \mapsto \mathbf{n}}$ $\Gamma = \{\} \vdash (lambda)$

$$[\mathbf{x} : \mathbf{num}] \vdash 1 : t'$$

We suppose there are two types, t and t', which will make the derivation work.



will make the derivation work.



Function Application

$\Gamma \vdash e : t \to t' \quad \Gamma \vdash e' : t$

 $\Gamma \vdash (e \ e') : t'$

App

Function Application

If (under Gamma), e has type t -> t' $\Gamma \vdash e : t \to t' \quad \Gamma \vdash e' : t$

 $\Gamma \vdash (e \ e') : t'$

- And e' (its argument) has type t

App

Then the application of e to e' results in a t'

Con $\Gamma \vdash n$: int $\Gamma \vdash e : t \to t'$ $\Gamma \vdash (e e)$ $\Gamma[x \mapsto t]$ $\Gamma \vdash (\lambda (x : t$

Our type system so far...

st
$$\frac{\Gamma(x) = t}{\Gamma \vdash x : t}$$
 Var

$$\frac{\Gamma \vdash e' : t}{P \vdash e' : t}$$
 App

$$\frac{F \vdash e' : t'}{P \vdash e' : t'}$$
 Lam

Almost everything! Just need builtin functions

- (e e) X l n
- prim ::= + | * | ...

```
e ::= (lambda (x : t) e)
    ((prim e) e)
```

Trick! Just **assume** they're part of **Γ**! $\Gamma_{i} = \{ + : \text{num} \rightarrow \text{num} \rightarrow \text{num}, \dots \}$

Write derivations of the following expressions...

Practice Derivations

((λ (x

C $\Gamma \vdash n$: num $\Gamma \vdash e : t \to t'$ $\Gamma \vdash (e e)$ $\Gamma, \{x \mapsto$

 $\Gamma \vdash (\lambda (x : t))$

$$: int) x) 1)$$
onst
$$\frac{x \mapsto t \in \Gamma}{\Gamma \vdash x : t} \quad Var$$

$$\frac{\Gamma \vdash e' : t}{F'} \quad App$$

$$e') : t'$$

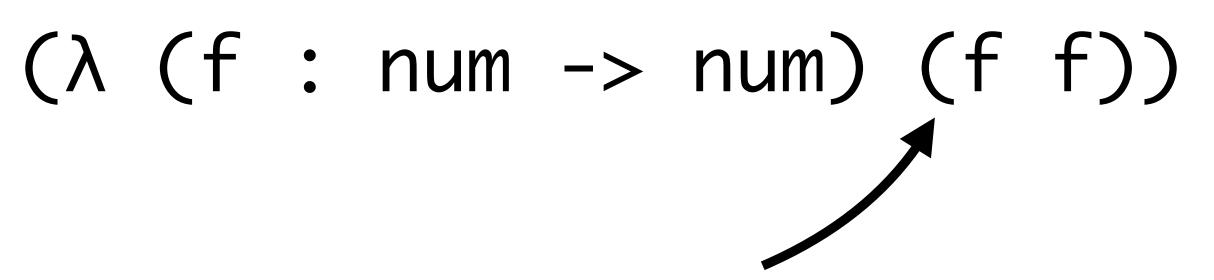
$$t\} \vdash e : t' \quad Lam$$

 $\Gamma \vdash n$: num $\Gamma \vdash e : t \rightarrow t' \quad \Gamma \vdash e' : t$ $\Gamma \vdash (e \ e') : t'$

$((\lambda (f : num -> num) (f 1)) (\lambda (x : num) x))$ $x \mapsto t \in \Gamma$ Var Const $\Gamma \vdash x : t$ App $\Gamma, \{x \mapsto t\} \vdash e : t'$ Lam $\Gamma \vdash (\lambda(x:t) \ e): t \to t'$

Typability in STLC

Not all terms can be given types...



- It is impossible to write a derivation for the above term!
 - f is num->num but would **need** to be num!

Not all terms can be given types...

((λ (f (λ (f

It is **impossible** to write a derivation for Ω !

Consider what would happen if f were:

- num -> num

- (num -> num) -> num

Typability

Always just out of reach...

$(\lambda (f : num -> num) -> num) (((f 2) 3) 4))$ $((\lambda (f : num -> num) f) (\lambda (x:num) (\lambda (x:num) x)))$

Type Checking

 $((\lambda (x:num) x:num) : num -> num)$

Type **checking:** verifying the derivation of a **fully-typed** term

Notice that each subterm is assigned a "full" type

Type checking tells us which rules we **must** apply if there is to be a derivation

 $((\lambda (x:num) x:num) : num -> num)$

In the case of fully-annotated STLC, there are no parts where we have to guess a type

We can synthesize a type by looking at the annotated parameters for lambdas

This leads us to writing a **syntax-directed** (i.e., structurally-recursive) type synthesizer / checker for fully-annotated STLC

Next lecture, we will look at type inference for **un-annotated** STLC

```
;; Synthesize a type for e in the environment env
;; Returns a type
(define (synthesize-type env e)
  (match e
    ;; Literals
    [(? integer? i) 'int]
    [(? boolean? b) 'bool]
    ;; Look up a type variable in an environment
    [(? symbol? x) (hash-ref env x)]
    ;; Lambda w/ annotation
    [`(lambda (,x : ,A) ,e)
    `(,A -> ,(synthesize-type (hash-set env x A) e))]
    ;; Arbitrary expression
    [`(,e : ,t) (let ([e-t (synthesize-type env e)])
                  (if (equal? e-t t)
                    t
                    (error (format "types ~a and ~a are different" e-t t)))]
    ;; Application
    [`(,e1 ,e2)
     (match (synthesize-type env e1)
       [ (, A ->, B)
        (let ([t-2 (synthesize-type env e2)])
          (if (equal? t-2 A)
            B
            (error (format "types \sim a and \sim a are different" A t-2)))))))))
```

Type Inference

- Allows us to leave some **placeholder** variables that will be "filled in later"
 - ((λ (x:t) x:t') : num -> num)
- The num->num type then forces t = num and t' = num

Type Inference

$(\lambda (x) (\lambda (y:num->num) ((+ (x y)) x)))$

Type inference can **fail**, too...

No **possible** type for x! Used as fn and arg to +

Type Inference has been of interest (research and practical) for many years

It allows you to write **untyped** programs (much less painful!) and automatically synthesize a type for you—as long as the type exists (catch your mistakes)

Type inference can be seen as enumerating **all possible type assignments** to infer a valid typing. You can think of it as solving the equation:

```
(\lambda (f) ((f 2) 3) 4))
                     Type inference
(\lambda (f : num -> num -> num -> num) (((f 2) 3) 4))
```

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How hard is this problem (tractability)?

Type inference can be seen as enumerating all possible type assignments to infer a valid typing. You can think of it as solving the equation:

that we *could* check, in principle

So it is not obvious that this is a terminating process. But: humans almost always write "reasonable" types:

((a -> ((a -> b) -> ((a -> b) -> (b -> c))) -> ...) is possible but uncommon

We will see next lecture that a procedure exists which finds a typing, if a typing exists. This relies on *unification* (a principle from logic programming)

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There are an infinite number of *possible* T (e.g., int, bool, int->int, bool->bool, ...)

Extending STLC...

- e ::= (lambda (x) e)(e e) ((prim e) e) Х n
- prim ::= + | * | ...

Let's add if, and, or

Extending STLC...

- e ::= (lambda (x) e)(e e) ((prim e) e) | (if e e e) (and e e) (or e e)
 - Х | n | #t | #f
- prim ::= + | * | ...

Now we need typing rules for if!

If needs guard to be a boolean...

- Shouldn't be valid for guard to be, e.g., (+ 1 2)

(if guard t **†)**

If needs guard to be a boolean...

- Shouldn't be valid for guard to be, e.g., (+ 1 2)

(if guard **†**) $\Gamma \vdash e_g : \mathbf{bool} \quad \Gamma \vdash e_t : t \quad \Gamma \vdash e_f : t$ lf $\Gamma \vdash (\mathbf{if} \, e_g \, e_t \, e_f) : t$

If needs guard to be a boolean...

- Shouldn't be valid for guard to be, e.g., (+ 1 2)

(if guard et/ef must be same type! \mathbf{f} $\Gamma \vdash e_g$: **bool** $\Gamma \vdash e_t$: t $\Gamma \vdash e_f$: tlf $\Gamma \vdash (\mathbf{if} \, e_g \, e_t \, e_f) : t$



Exercise

Can you come up with the type rules for and/or?

(and $e_1 e_2$)

Completeness of STLC

- **Incomplete**: Reasonable functions we can't write in STLC • E.g., any program using recursion
- Several useful **extensions** to STLC
- Fix operator to allow typing recursive functions
- Algebraic data types to type structures
- Recursive types to allow typing recursive structures •tree = Leaf (int) | Node(int,tree,tree)

Typing the Y Combinator

The "real" solution is quite nontrivial—we need recursive types, which may be formalized in a variety of ways - We will not cover recursive types in this lecture, I am happy to offer pointers Our hacky solution works in practice, but is not sound in general - More precisely, the logic induced by the type system is no longer sound

 $\Gamma \vdash f \colon t \to t \quad \mathbf{Y}$ $\Gamma \vdash (Yf) : t$

Think of how this would look for **fib**

 $\Gamma \vdash f : t \to t \quad \mathbf{Y}$ $\Gamma \vdash (Yf) : t$ What would t be here? (let ([fib (Y (λ (f) (λ (x) (if (= x 0))(* x (fib (- x 1))))))))))))

Typing the Y Combinator

Error States

- A program steps to an **error state** if its evaluation reaches a point where the program has not produced a value, and yet cannot make progress
- Gets "stuck" because + can't operate on λ

$((+ 1) (\lambda (x) x))$

Error States

- A program steps to an error state if its evaluation reaches a point where the program has not produced a value, and yet cannot make progress
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$((+ 1) (\lambda (x) x))$

(Note that this term is **not typable** for us!)

Soundness

- A type system is **sound** if no typable program will ever evaluate to an error state
 - "Well typed programs cannot go wrong." Milner
 - (You can **trust** the type checker!)

Proving Type Soundness

Progress

If e typable, then it is either a value or can be further reduced

- **Theorem:** if e has some type derivation, then it will evaluate to a value.
 - Relies on two lemmas
- Preservation

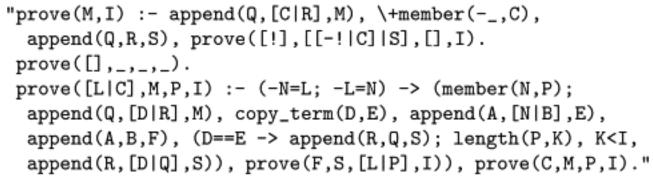
If e has type t, any reduction will result in a term of type t

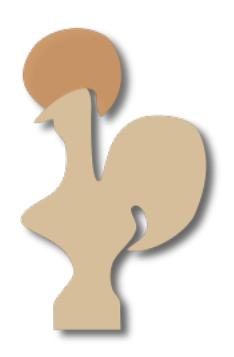
"Proofs as Programs"

A significant amount of interest has been given to programming languages which use **powerful type systems** to write programs alongside a proof of the program's correctness

Imagine how nice it would be to write a **completely-formallyverified** program—no bugs ever again!







How does this work?

These systems interpret **programs** as **theorems** in higher-order logics (calculus of constructions, etc...)

Unfortunately, no free lunch: this makes the type system way more complicated in practical settings

We will see a *taste* of the inspiration for these systems, by reflecting on STLC's expressivity

Valid Contexts.

$$Dash * rac{\GammaDash \Delta}{\Gamma[x:\Delta]Dash *} rac{\GammaDash P:*}{\Gamma[x:P]Dash *}$$

Product Formation.

$$rac{\Gamma[x:P]Dash\Delta}{\Gammadash[x:P]\Delta} ~~ rac{\Gamma[x:P]Dash N:*}{\Gammadash[x:P]N:*}$$

Variables, Abstraction, and Application.

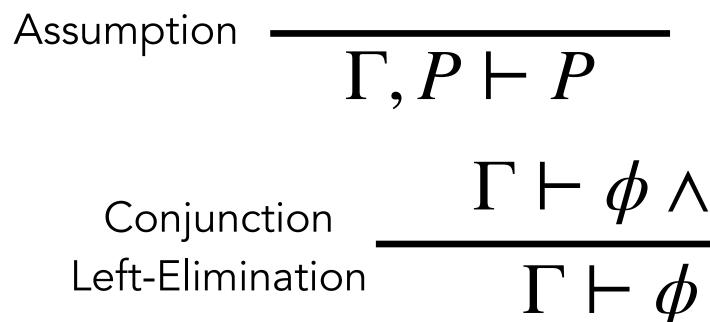
$$rac{\Gammadash * x}{\Gammadash * x:P} \left[x{:}P
ight] ext{ in } \Gamma = rac{\Gamma[x{:}P]dash N:Q}{\Gammadash (\lambda x{:}P)N: \left[x{:}P
ight]Q} rac{\Gammadash M: \left[x{:}P
ight]Q}{\Gammadash (MN): \left[N/x
ight]Q} rac{\Gammadash N:P}{\Gammadash (MN): \left[N/x
ight]Q}$$

s, t, A, B ::= xvariable $(x : A) \rightarrow B$ dependent function type lambda abstraction function application dependent pair type $(x : A) \times B$ dependent pairs $\langle s, t \rangle$ $\pi_2 t$ $\pi_1 t \mid$ projection universes $(i \in \{0..\})$ Set_i the unit type 1 $\langle \rangle$ the element of the unit type Γ, Δ $::= \varepsilon$ $| (x : A)\Gamma$ telescopes

Intuitionistic Propositional Logic

Constructive logic variant of traditional propositional (boolean) logic

Proofs in (intuitionistic) propositional logic are built from natural-deduction rules, including introduction and elimination rules



Conjunction $\Gamma \vdash \phi \quad \Gamma \vdash \psi$ Introduction $\Gamma \vdash \phi \land \psi$ Conjunction $\Gamma \vdash \phi \land \psi$ **Right-Elimination** $\Gamma \vdash \phi$

> More reading: https://www.classes.cs.uchicago.edu/archive/2003/spring/15300-1/intuitionism.pdf

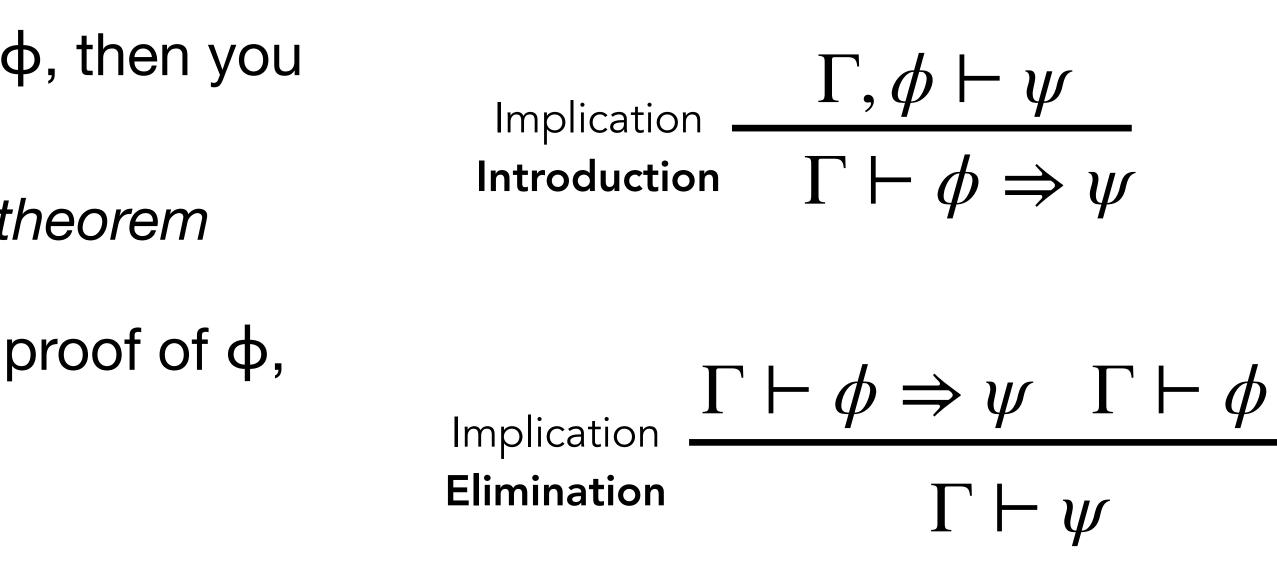


Implication in IPL

Implication is performed by *introducing-then-discharging*

"If you can prove ψ by assuming ϕ , then you can prove $\phi \Rightarrow \psi$ " Sometimes called the *deduction theorem* "If you have a proof of $\phi \Rightarrow \psi$, and a proof of ϕ , then you can have a proof of ψ "

Sometimes called *modus ponens*





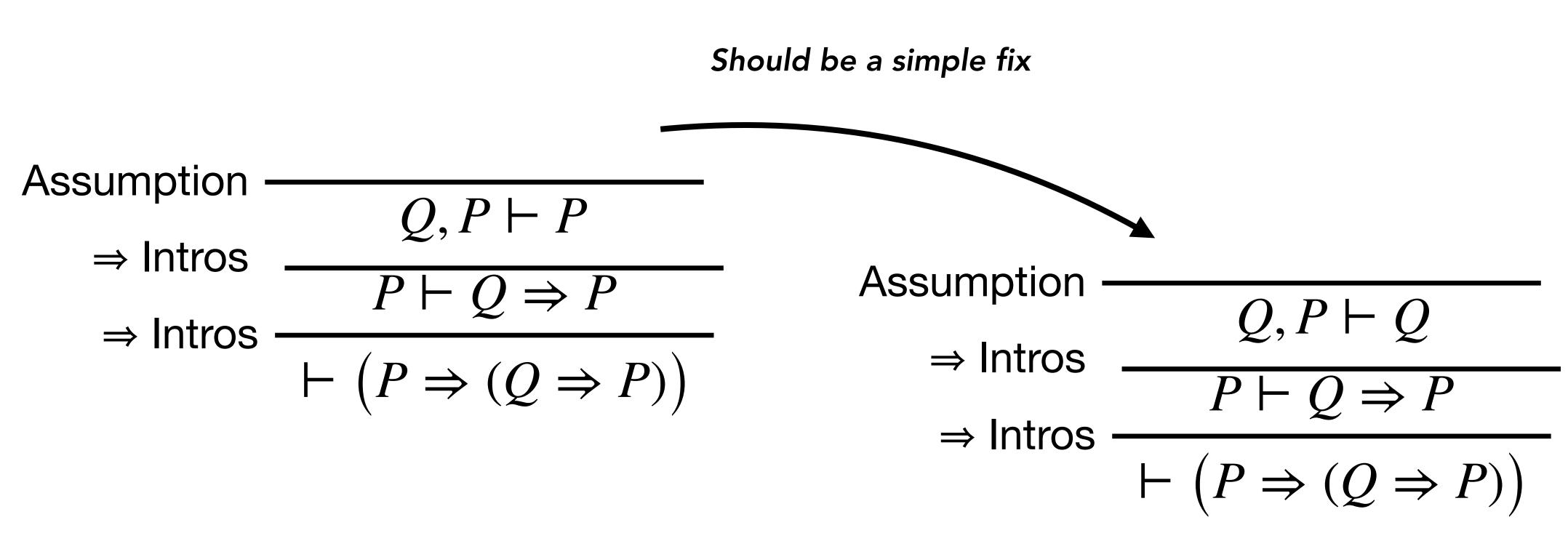
Proving $P \Rightarrow (Q \Rightarrow P)$

Assumption — \Rightarrow Intros — \Rightarrow Intros —

Start with a **goal** and then grow a proof according to the rules

$$Q, P \vdash P$$
$$P \vdash Q \Rightarrow P$$
$$\left(P \Rightarrow (Q \Rightarrow P)\right)$$

Small Point: Proving $P \Rightarrow (Q \Rightarrow Q)$



To fix this, we typically add **structural rules** to allow Unfortunately, our assumption rule **forbids** this: identifying contexts under reorderings. Some "sub-Assumption - $\Gamma, P \vdash P$ structural" logics (linear, affine) explicitly restrict this for particular uses (tracking resources, etc...)

Curry-Howard-Isomorphism

intuitionistic propositional logic

(lambda (x : int) x) : int -> int

(lambda (x : int) (lambda (y : bool) x)) : (int -> (bool -> int))

Every well-typed STLC term is a proof of a theorem in

Can be interpreted as "P implies P" ($P \Rightarrow P$, more properly int \Rightarrow int)

Can be interpreted " $P \Rightarrow (Q \Rightarrow P)$ "



The key idea is to realize that the typing derivation for STLC precisely mirrors the deductive rules of IPL

$$\frac{x \mapsto t \in \Gamma}{\Gamma \vdash x : t} \quad \text{Var}$$

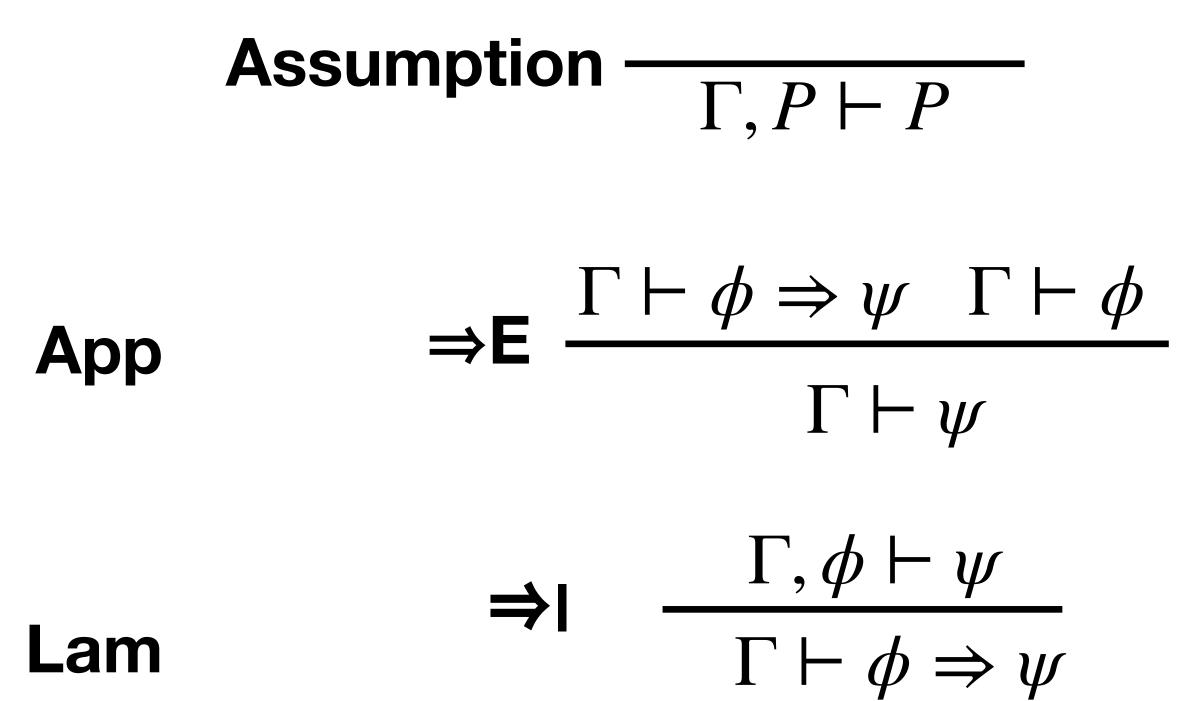
$$\Gamma \vdash e : t \to t' \quad \Gamma \vdash e' : t$$

$$\Gamma \vdash (e \ e') : t'$$

$$\Gamma, \{x \mapsto t\} \vdash e : t'$$

$$\Gamma \vdash (\lambda (x : t) \ e) : t \to t'$$





$$\frac{x \mapsto t \in \Gamma}{\Gamma \vdash x : t} \quad \text{Var}$$

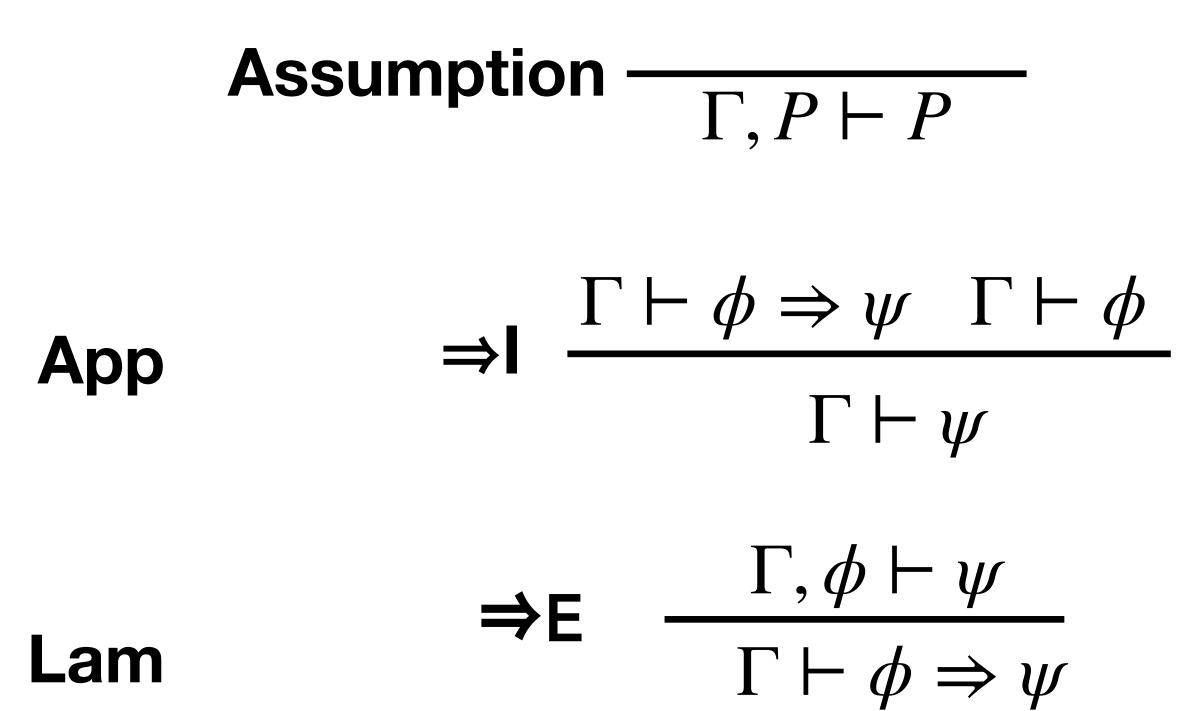
$$\Gamma \vdash e : t \to t' \quad \Gamma \vdash e' : t$$

$$\Gamma \vdash (e \ e') : t'$$

$$\Gamma, \{x \mapsto t\} \vdash e : t'$$

$$\Gamma \vdash (\lambda (x : t) \ e) : t \to t'$$

This means that every proof tree for STLC can be **trivially-mapped** to a proof tree in IPL. I.e., if (e : t) is typeable in STLC, the theorem t holds in IPL by construction of the proof built using this mapping



A family of logics / type systems

The Curry-Howard Isomorphism is a principle we can use to interpret either type systems or constructive logics

- (Always constructive logics because structural type systems are fullymaterialized, structured proofs)

IPL is a boring logic — it can't say much. Expressive power is limited to propositional logic

To prove interesting theorems, we want to say things like: \forall (l : list A) : {l' : sorted l' $\land \forall x$. (member l x) \Rightarrow (member l' x)}

- For all input lists I
- The output is a list I', along with a proof that:
 - (a) l' is sorted (specified elsewhere)
 - (b) every member of I is also a member of I'
- Any issues?
 - (Maybe we also want to assert length is the same?)

Dependent Type Systems

type (something like)

- These are called *dependent types*, because types can depend on *values* - This allows expressing that I' is sorted
- Unfortunately, these type systems are way more complicated - Worse, even type *checking* may be **undecidable** (in general)

Precise formalization of these systems is beyond the scope of this class

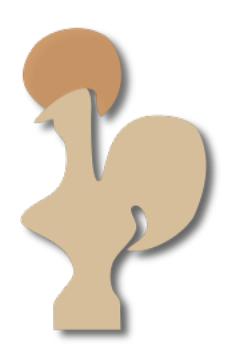
- We can construct type systems / programming languages where terms can be of
 - \forall (l : list A) : {l' : sorted l' $\land \forall$ (x : A). (member l x) \Rightarrow (member l' x)}

and subsequently enable "fully-verified" programming

They hit a variety of expressivity points. The fundamental trade off is: (a) expressivity vs. (b) automation.

manual annotation (potentially).





```
"prove(M,I) :- append(Q,[C|R],M), \+member(-_,C),
 append(Q,R,S), prove([!],[[-!|C]|S],[],I).
prove([],_,_,_).
prove([L|C],M,P,I) := (-N=L; -L=N) \rightarrow (member(N,P);
 append(Q,[D|R],M), copy_term(D,E), append(A,[N|B],E),
 append(A,B,F), (D==E -> append(R,Q,S); length(P,K), K<I,
 append(R,[D|Q],S)), prove(F,S,[L|P],I)), prove(C,M,P,I)."
```

- A huge family of languages have popped up to implement dependent type systems
- Highly-expressive systems require you to write all the proofs yourself, and a lot of

Explicit Theorem Proving / Hole-Based Synth

Here I give an Agda definition for products

{- In Agda: for all P / Q, P -> Q -> P -} g_q_p : (P Q : Set) -> P -> Q -> P $p_q_p P Q pf_P pf_Q = pf_P$ data _x_ (A : Set) (B : Set) : Set where (_,_) : А → B - - - - $\rightarrow A \times B$ proj1 : ∀ {A B : Set} $\rightarrow A \times B$ - - - - -→ A projl (x, xl) = xproj2 : ∀ {A B : Set} $\rightarrow A \times B$ → B $proj2 \langle x, x1 \rangle = x1$ **∏U:---** hello.agda 48% L36 <E> (Aada:Checked) U:%*- *All Done* All L1 <M> (AgdaInfo)

waterloo.ca/~plragde/747/notes/index.html



Explicit Theorem Proving / Hole-Based Synth

```
p : (PQ : Set) -> P × (Q × P) -> Q
 p P Q pf =
 {- proj1 (proj2 pf) -}
                                     (Agda)
]U:--- hello.agda
                      Bot L57
                                <E>
 13 : Q [ at /home/guest/hello.agda:59,12-13 ]
U:%*- *All Goals* All L1
                                    (AgdaInfo)
                             <M>
```

Agda will tell me what I need to fill in, allows me to use "holes" and then helps me hunt for a working proof.

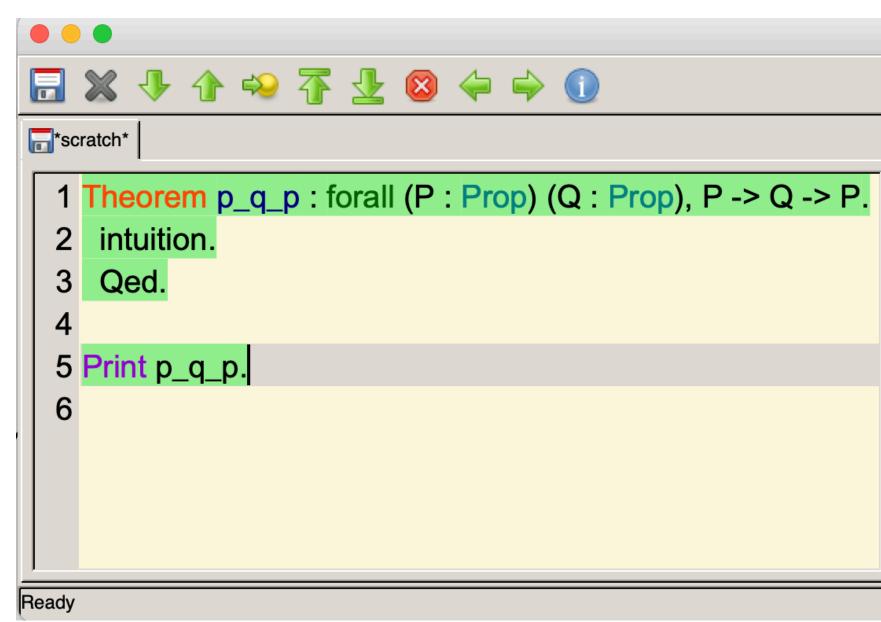
```
proj1 : ∀ {A B : Set}
  \rightarrow A \times B
  → A
projl (x, xl) = x
proj2 : ∀ {A B : Set}
  → A × B
  → B
proj2 (x, x1) = x1
```

```
p : (P Q : Set) -> P \times (Q \times P) -> Q
p P Q pf = (proj1 (proj2 pf))
```



Tactic-Based Theorem Proving

Some systems provide logic-programming (i.e., *proof search*) to help assist users - CHI tells us that proof search is tantamount to program synthesis - Here I use Coq's "intuition" tactic to automatically construct a proof for me



right: printing the proof term)

| Coqlde | | | | |
|---|------------|--------------|-----------------|--|
| | | | | |
| | | | | |
| | | | | |
| Warning: query commands should no p_q_p = | ot be inse | rted in scri | ipts | |
| fun (P Q : Prop) (H : P) (_ : Q) => H : forall P Q : Prop, P -> Q -> P | | | | |
| Argument scopes are [type_scope typ | be_scope |] | | |
| <u></u> | Line: | 5 Char: 13 | Coqlide started | |

(Using Coq to prove $P \Rightarrow Q \Rightarrow P$; left: using the "intuition" tactic,

Other systems for dependent type syntehsis

Some systems translate proof obligations into formulas which are then sent to SMT solvers (solves goals in first-order logic, such as Z3)

This can partially automate many otherwise-tricky proofs—in certain situations

F* based on this idea, but other proof search approaches exist (Idris, etc...)

The more expressive the type theory, the more work is required to build proofs.

